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**Forests & Groves:**  
Minimal Gauge Invariant Classes  
of Tree Diagrams in Gauge Theories

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Sitges 1999



- **theoretical curiosity:**

- How do gauge theories do their “magic”?
- **cancellations** of unphysical contributions remain amazing in practical calculations
- ... despite the abstract arguments ...

- **practical importance:**

- phenomenology needs **well behaved matrix elements & cross sections**, if possible compact
- systematic procedures desirable
- ... Monte Carlo event generator generators ...

- **short term goal:**

- automagic selection of **gauge invariant subsets** of Feynman diagrams

- **long term goal:**

- **automagic calculation** of compact expressions with builtin gauge cancellations



**Phenomenology:** weak interactions exchange vector (& pseudo-vector) quantum numbers:

- ∴ **unphysical degrees of freedom** are present (time-like “polarization” states of intermediate vector bosons)
- ∴ **gauge theories** are the only known consistent description of interacting vector particles, in which the unphysical degrees of freedom **cancel**

Perturbative calculations require **gauge fixing**:

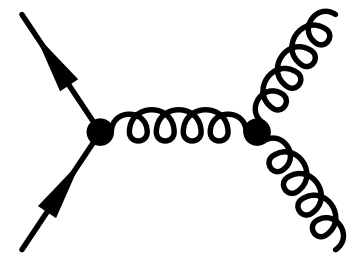
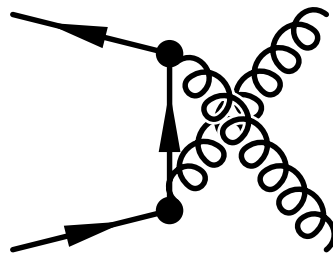
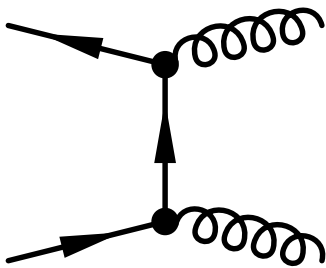
- ∴ intricate cancellations: either of
  - **bad high energy behaviour** in unitarity gaugeor of
  - **unitarity violating contributions** in  $R_\xi$ -gauges

Renormalizability & unitarity best proved abstractly

1. prove quantum action principles (**power counting** rules) of BPHZ subtracted theory: gauge invariance broken & unitarity violated
2. prove that there is no obstruction to restoring **BRS** invariance with finite counterterms of dimension-4, other than the ABBJ-anomaly
3. prove **unitarity** with quartet-mechanism



- ∴ BPHZ/QAP/BRS procedure **decouples perturbative power counting & gauge structure**
- ∴ implementation in concrete calculations is **not** obvious
- ∴ **Ward identities** relate diagrams with different pole structure



**Reason:** numerator factors can cancel parts of denominators

- ∴ how to disentangle the **intricate web of kinematical structure & gauge structure?**
- ∴ do we always have to calculate **all** diagrams to avoid being “a little bit gauge invariant”?

**Practical consideration:** it is more economical to spend the time improving **Monte Carlo statistics** for the important pieces of the amplitude than to calculate the complete amplitude all the time!



The interesting **flavor physics** at the LHC & the Linear Collider is different from QCD with light quarks:

- the gauge symmetry is **broken** spontaneously
- ∴ the gauge group is **not simple** & the factors are **mixed**

$$\begin{pmatrix} Z^0 \\ A \end{pmatrix} = \begin{pmatrix} \cos \theta_w & -\sin \theta_w \\ \sin \theta_w & \cos \theta_w \end{pmatrix} \begin{pmatrix} W^3 \\ B \end{pmatrix}$$

- ∴ combinatorics more intricate
- ∴ the **flavor of leptons & heavy quarks is tagged** & the flavor transformations are **not** orthogonal on the gauge transformations
- ∴ **no summation over complete gauge multiplets** possible
- ∴ many amplitudes not gauge **invariant**, only gauge **covariant**
- ☹️ QCD recursion relations or string inspired methods not directly applicable



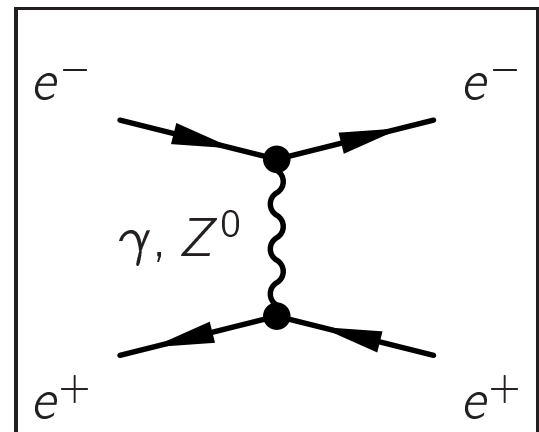
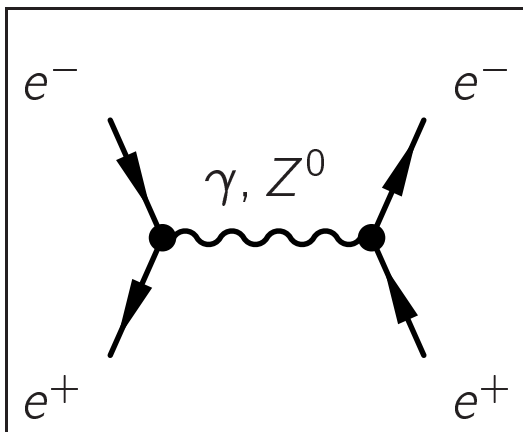
- use **physical final states**

$\therefore$  there are 10 tree diagrams contributing to  $e^+e^- \rightarrow \mu^- \bar{\nu}_\mu u \bar{d}$

$\therefore$  the corresponding subset of the 20 diagrams for  $e^+e^- \rightarrow e^- \bar{\nu}_e u \bar{d}$  must be gauge invariant

$\therefore$  flavor selection rules appear to be a good criterion

- simplest example:  $s$ -channel &  $t$ -channel separately gauge-invariant in **Bhabha-scattering**:

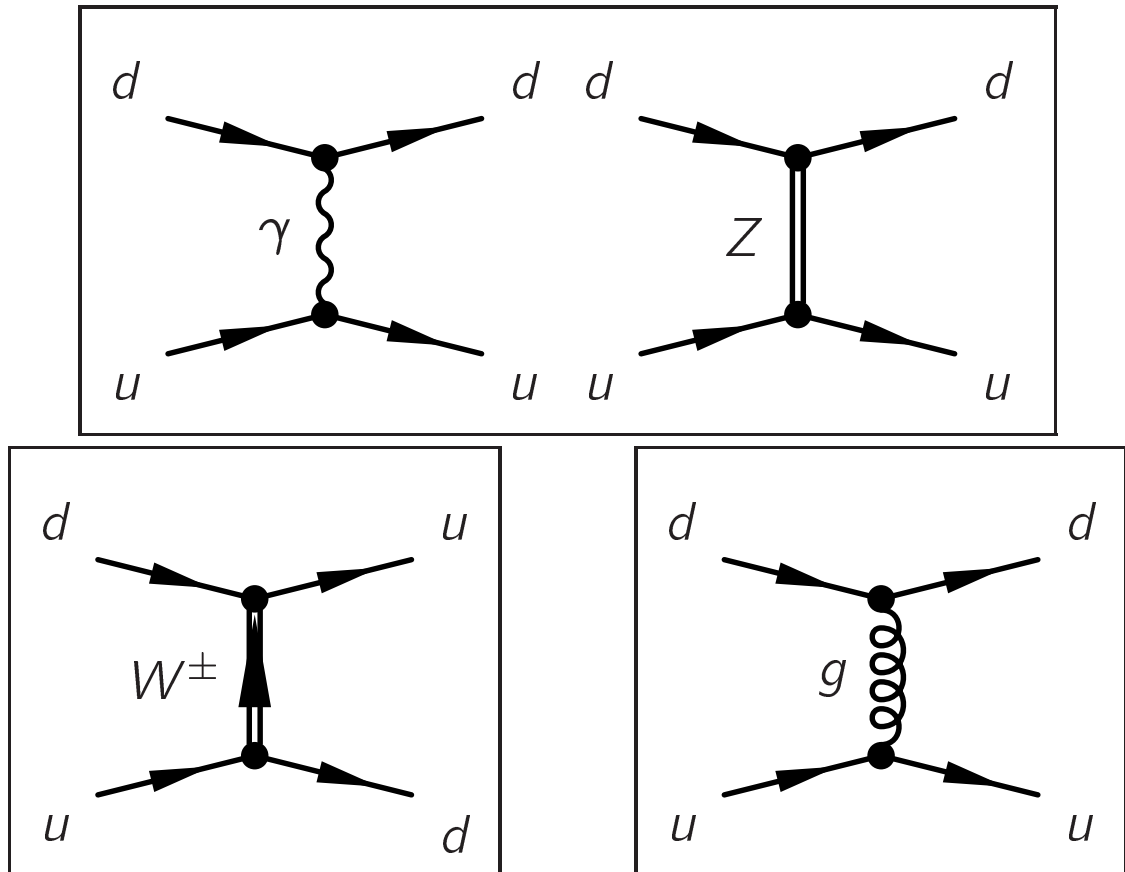


$\therefore e^+e^- \rightarrow \mu^+\mu^-$  &  $e^+\mu^- \rightarrow e^+\mu^-$  are also **physical processes** that give gauge invariant amplitudes

$\therefore$  **conserved currents** of (real or fictitious) horizontal (generation) symmetries can serve as a tool to separate gauge invariant classes of diagrams



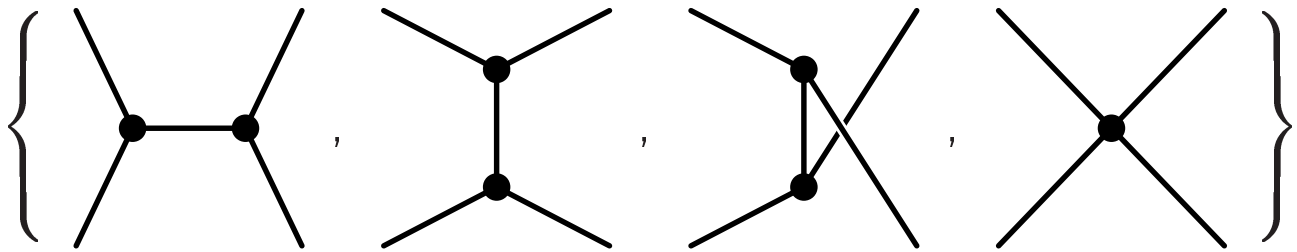
- less trivial example: three separately gauge-invariant sets in  $ud \rightarrow ud$



- $\therefore$  QCD is obvious, because the gauge group is a separate factor & we can switch off the coupling independently
- $\therefore$  charged current diagram is separate, because it is absent in  $us \rightarrow us$  (assuming a diagonal CKM matrix).
- ... **useless unless we learn to deal with the combinatorics in more complicated applications** ...



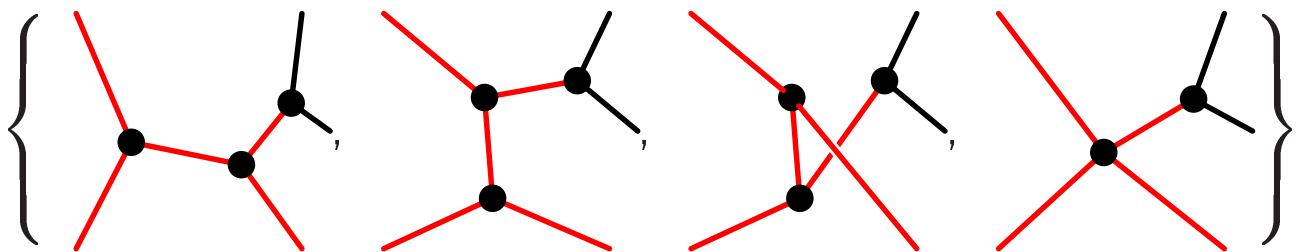
Consider the scalar four-point trees  $T_4 = \{t_4^{(1)}, t_4^{(2)}, t_4^{(3)}, t_4^{(4)}\}$



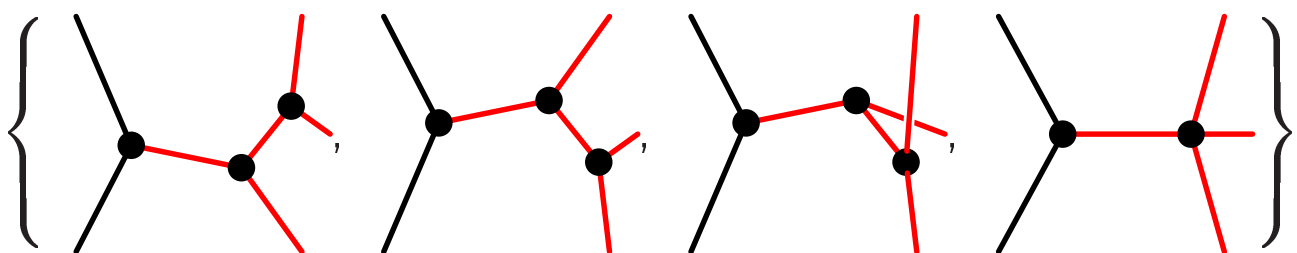
$\therefore t_4^{(1)}, t_4^{(2)}, \& t_4^{(3)}$  have the same coupling from crossing (in gauge theories,  $t_4^{(4)}$  is also fixed by symmetry), unless diagrams are forbidden by conserved quantum numbers.

$\therefore$  **flips**, i. e. permutations of the set  $T_4$ , define a (trivial) symmetry of the four point amplitude.

More interesting:  $T_4$  induces transformations in  $T_5$ :



- obviously, there is (in general) more than one  $t_4 \in T_4$  embedded in a  $t_5 \in T_5$







$\therefore$  there are 25 five-point trees in  $\phi^3 + \phi^4$  & at most 6 can be reached from any diagram by a **flip** of a four-point subdiagram

😊 there must be a **non-trivial mathematical structure** ...

**Definition 1** The **flips** in  $T_4$  induce a relation  $\circ$  on  $T_n$ :

$$t \circ t' \iff \exists t_4 \in T_4, t'_4 \in T_4 : t_4 \circ t'_4 \wedge t \setminus t_4 = t' \setminus t'_4$$

In words:  $t \circ t'$  iff  $t'$  can be made from  $t$  by a **single flip** of a four-point subdiagram

NB:  $\circ$  is **not** transitive & not an equivalence relation!

With the relation  $\circ$ , we can define a graph on the set  $T_n$ :

**Definition 2** The **forest** is a graph with each vertex representing a tree & the edges given by the **flips** of four-point subdiagrams

$$F(E) = \{(t, t') \in T(E) \times T(E) \mid t \circ t'\},$$

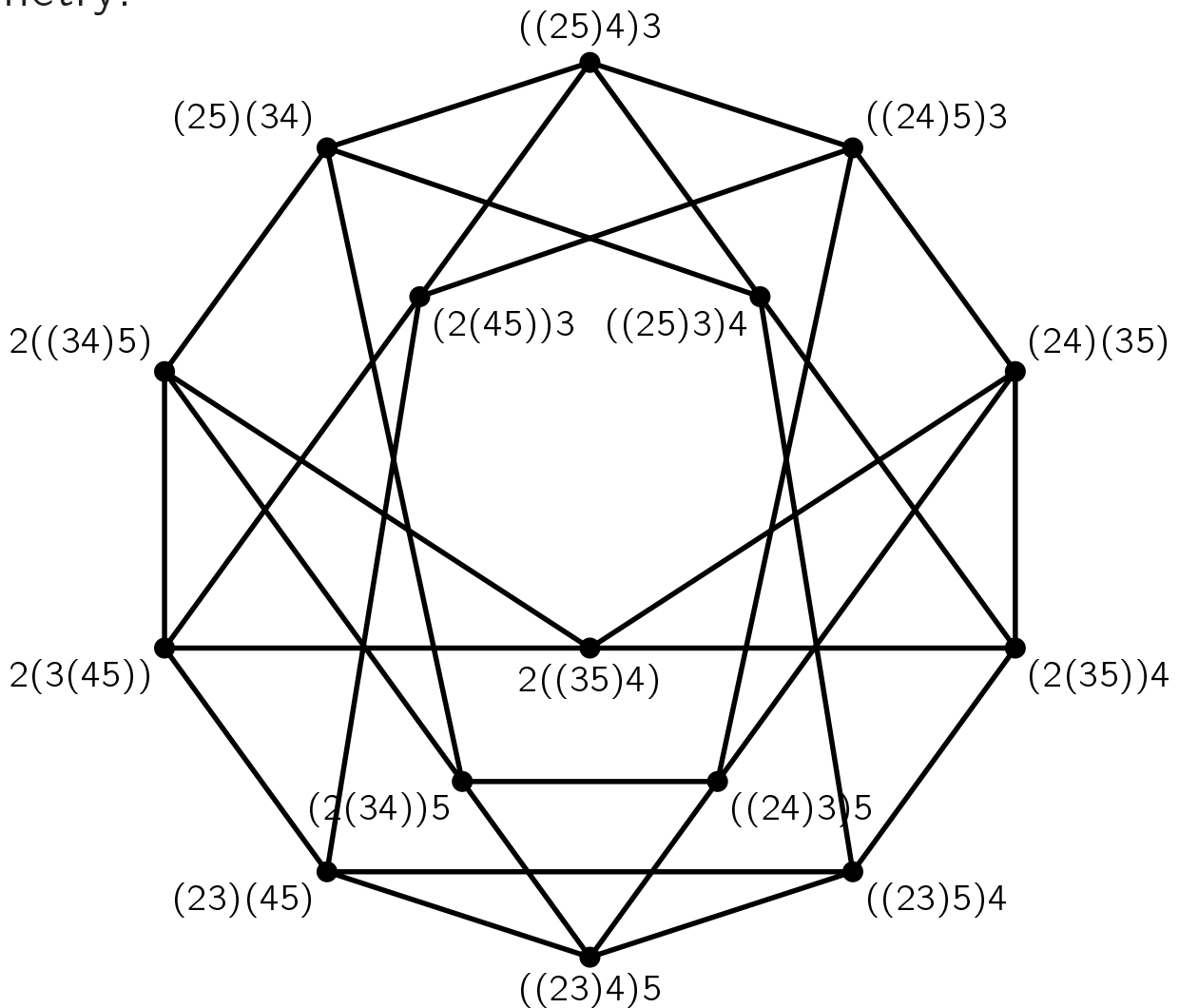
**Theorem 1** The **unflavored forest**  $F(E)$  is connected for all external states  $E$

In other words: each diagram can be reached from any other by a succession of **flips**.

**Proof 1** Induction on the number of external particles



Already the simplest example, the forest of the 15 five-point tree diagrams in unflavored  $\phi^3$ -theory, has fascinating geometry:



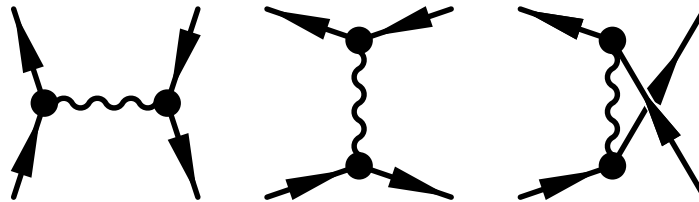
$|\text{Aut}(F(E))| = 120$  permutations of the 15 vertices leave this graph invariant!

😊 probably an interesting mathematical problem

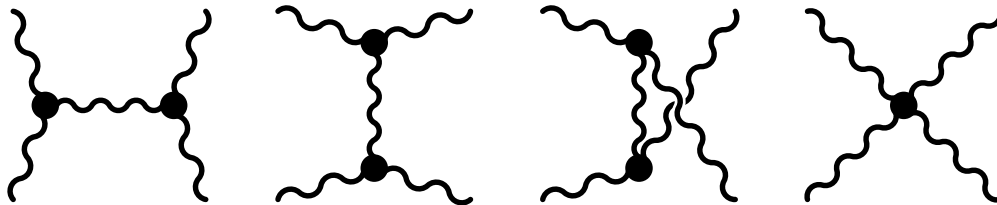
😞 probably no physical application, except ...

*Not all flips are created equal!*

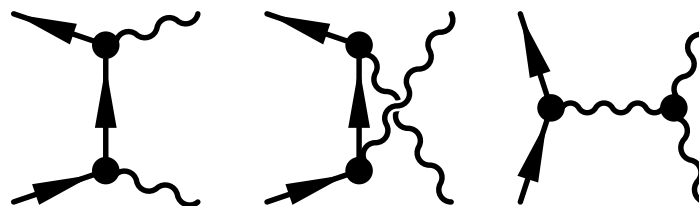
Four-point matter trees  $\{t_4^{F,1}, t_4^{F,2}, t_4^{F,3}\}$  related by *flavor* flips:



Four-point gauge trees  $\{t_4^{G,1}, t_4^{G,2}, t_4^{G,3}, t_4^{G,4}\}$  related by *gauge* flips:



Four-point “Compton” diagrams  $\{t_4^{G,5}, t_4^{G,6}, t_4^{G,7}\}$  related by *gauge* flips (scalars have additional diagram):



- remember: *flavor* flips can be switched off by selection rules of (physical or artificial) horizontal (“generation”) symmetry,
- *gauge* flips relate diagrams that **must** appear together in order to satisfy **Ward identities**.



∴ we have two different relations: a strong one & a weaker one:

$$t \bullet t' \iff t \text{ \& } t' \text{ related by } \textit{gauge flip}$$

$$t \circ t' \iff t \text{ \& } t' \text{ related by } \textit{flavor or gauge flip}$$

which define two different forests on the set of all tree diagrams for the external state  $E$ :

### Definition 3

1. the *flavor forest* (a. k. a. forest):

$$F(E) = \{(t, t') \in T(E) \times T(E) \mid t \circ t'\}$$

2. the *gauge forest*

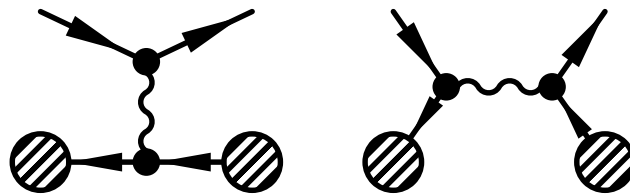
$$G(E) = \{(t, t') \in T(E) \times T(E) \mid t \bullet t'\} \subseteq F(E)$$

3. the connected components  $G_i(E)$  of  $G(E)$ : *groves*

**Theorem 2** *The forest  $F(E)$  for an external state  $E$  consisting of gauge & matter fields is connected if the fields in  $E$  carry no conserved quantum numbers other than the gauge charges. The groves  $G_i(E)$  are the minimal gauge invariant classes of Feynman diagrams.*

**Proof 2**

1. The *Ward identities* require sums over **all** ways to attach a gauge boson to gauge charge carrying components of Feynman diagrams. *Gauge flips* connect pairs of neighboring insertions & can be iterated along gauge charge carrying propagators.  
 $\therefore$  no partition of the forest  $F(E)$  that is finer than the *groves*  $G_i(E)$  preserves gauge invariance.
2. There are two ways to add an additional pair of matter fields to a gauge invariant amplitude, which are related by a *flavor flip*:



- (a) If the flavor is new, the only way to attach the pair is through a gauge boson.
- (b) If the flavor is already present, we can also break up a matter field propagator.

Since it is always possible to introduce a new flavor, either physical or fictitious, without breaking gauge invariance, these cases fork off separately gauge invariant classes every time we add a new pair of matter fields.



**Systematic** procedure for calculating **groves**:

1. select the desired external state & simplify the combinatorics by treating all particles as outgoing
2. choose **all** quantum numbers identical **except** for gauge group quantum numbers (the **flavor forest** would otherwise be disconnected)
3. calculate the connected **flavor forest** & the **groves**
4. select the **groves** that are compatible with the non-gauge quantum numbers (flavor, etc.)



if only a few flavor combinations are interesting, it can be simpler in practical applications to generate all diagrams for the external states conventionally & to use the disconnected **flavor forest**.



The resulting **groves** will be the same.



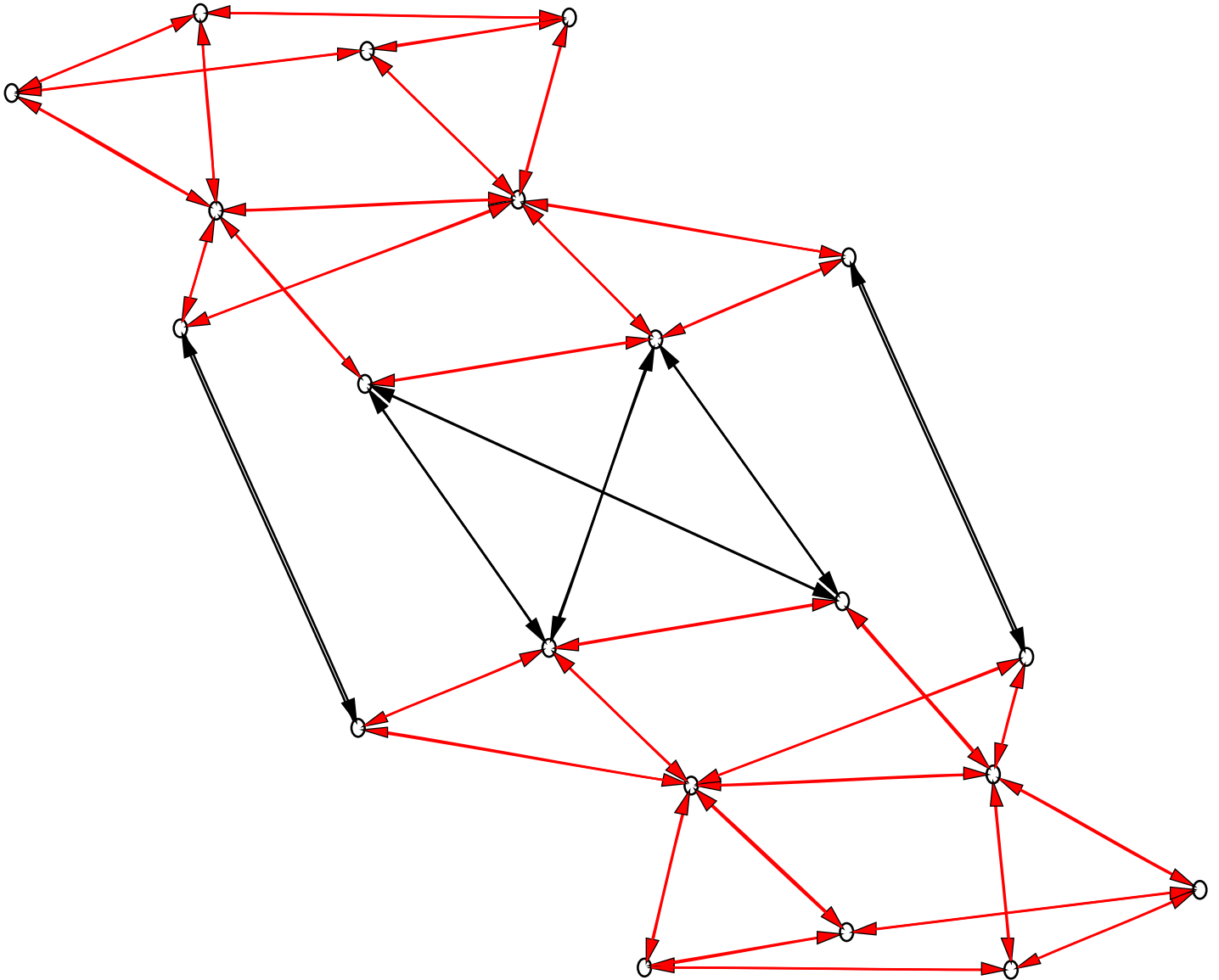
Groves for all processes with six massless fermions in the standard model (without QCD)

$E$	$\Sigma$	classes
$u\bar{u}u\bar{u}u\bar{u}$	144	$18 \cdot 8$
$u\bar{u}u\bar{u}u\bar{u}\gamma$	1008	$18 \cdot 24 + 36 \cdot 16$
$u\bar{u}u\bar{u}d\bar{d}$	92	$4 \cdot 11 + 6 \cdot 8$
$u\bar{u}u\bar{u}d\bar{d}\gamma$	716	$4 \cdot 95 + 6 \cdot 24 + 12 \cdot 16$
$\ell^+ \ell^- u\bar{u}d\bar{d}$	35	$1 \cdot 11 + 3 \cdot 8$
$\ell^+ \ell^- u\bar{u}d\bar{d}\gamma$	262	$1 \cdot 94 + 3 \cdot 24 + 6 \cdot 16$
$\ell^- \nu d\bar{u}d\bar{d}$	20	$2 \cdot 10$
$\ell^- \nu d\bar{u}d\bar{d}\gamma$	152	$2 \cdot 76$
$\ell^+ \ell^- \ell^- \nu d\bar{u}$	20	$2 \cdot 10$
$\ell^+ \ell^- \ell^- \nu d\bar{u}\gamma$	150	$2 \cdot 75$
$\ell^- \nu \ell^+ \bar{\nu} d\bar{d}$	19	$1 \cdot 9 + 2 \cdot 4 + 1 \cdot 2$
$\ell^- \nu \ell^+ \bar{\nu} d\bar{d}\gamma$	107	$1 \cdot 59 + 2 \cdot 12 + 2 \cdot 8 + 2 \cdot 4$
$\ell^- \bar{\nu} \ell^+ \nu \ell^+ \ell^-$	56	$4 \cdot 9 + 4 \cdot 4 + 2 \cdot 2$
$\ell^- \bar{\nu} \ell^+ \nu \ell^+ \ell^- \gamma$	328	$4 \cdot 58 + 4 \cdot 12 + 4 \cdot 8 + 4 \cdot 4$
$\ell^+ \nu \ell^- \bar{\nu} \nu \bar{\nu}$	36	$4 \cdot 6 + 6 \cdot 2$
$\ell^+ \nu \ell^- \bar{\nu} \nu \bar{\nu} \gamma$	132	$4 \cdot 26 + 2 \cdot 6 + 4 \cdot 4$
$\nu \bar{\nu} \nu \bar{\nu} \nu \bar{\nu}$	36	$18 \cdot 2$

includes the familiar LEP2 classes ([CC09](#), [CC10](#), [CC11](#), etc.)



As is well known,  $e^+ e^- \rightarrow e^- \bar{\nu}_e u \bar{d}$  falls into two separately gauge invariant classes, which look like

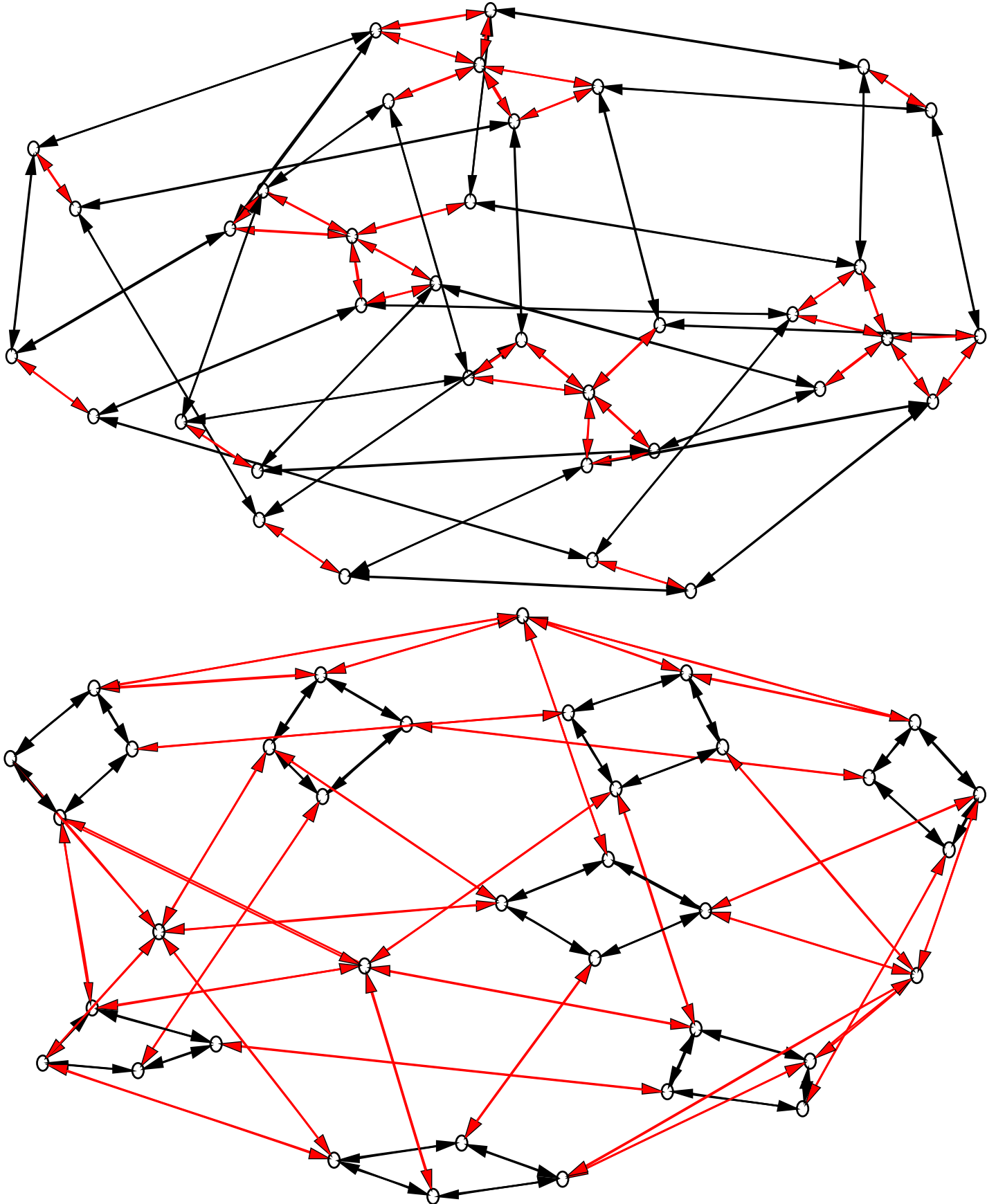


were **gauge flips** are red & **flavor flips** are black.





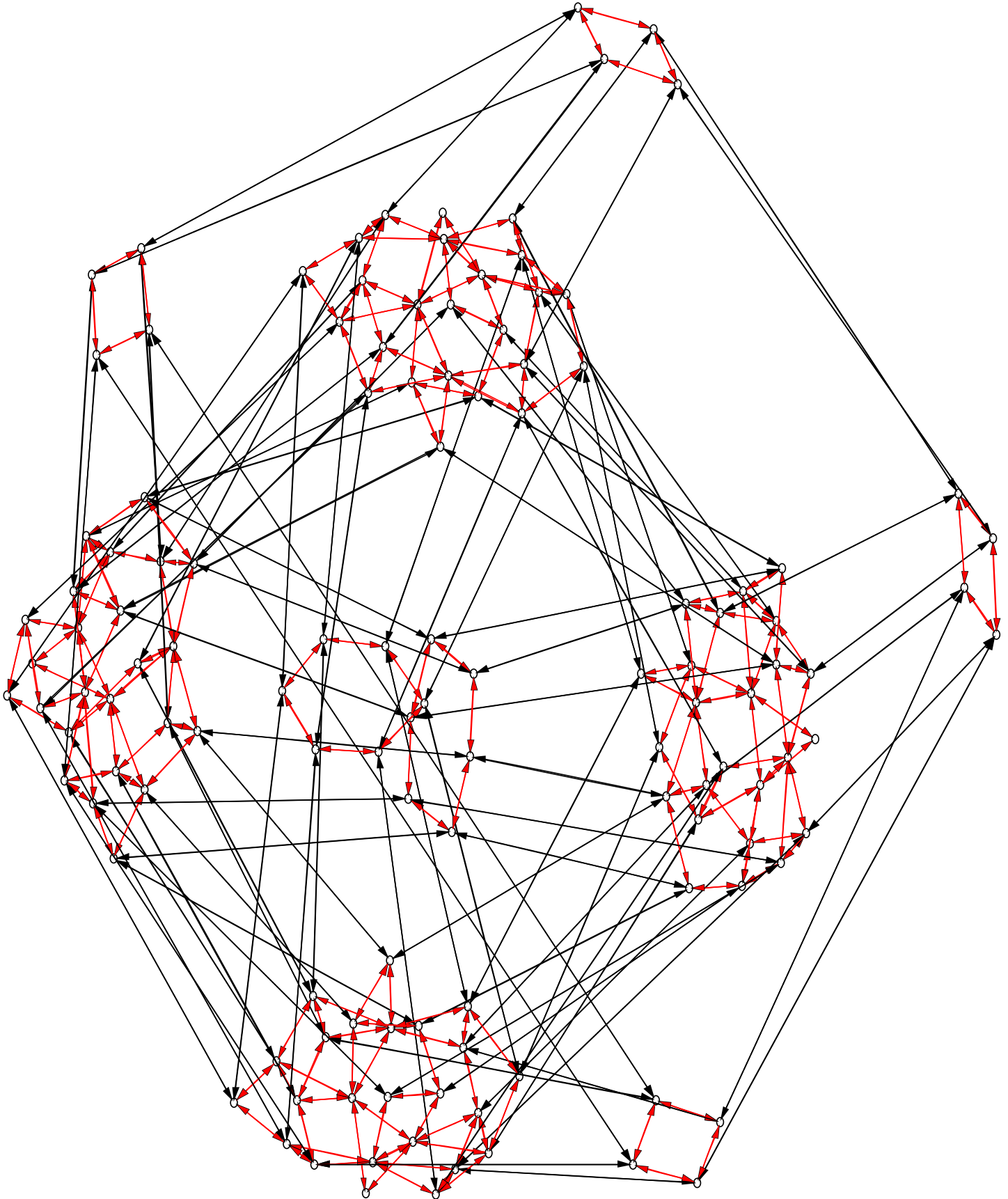
Two views of  $e^+ e^- \rightarrow \nu_e \bar{\nu}_e \nu_e \bar{\nu}_e$ :





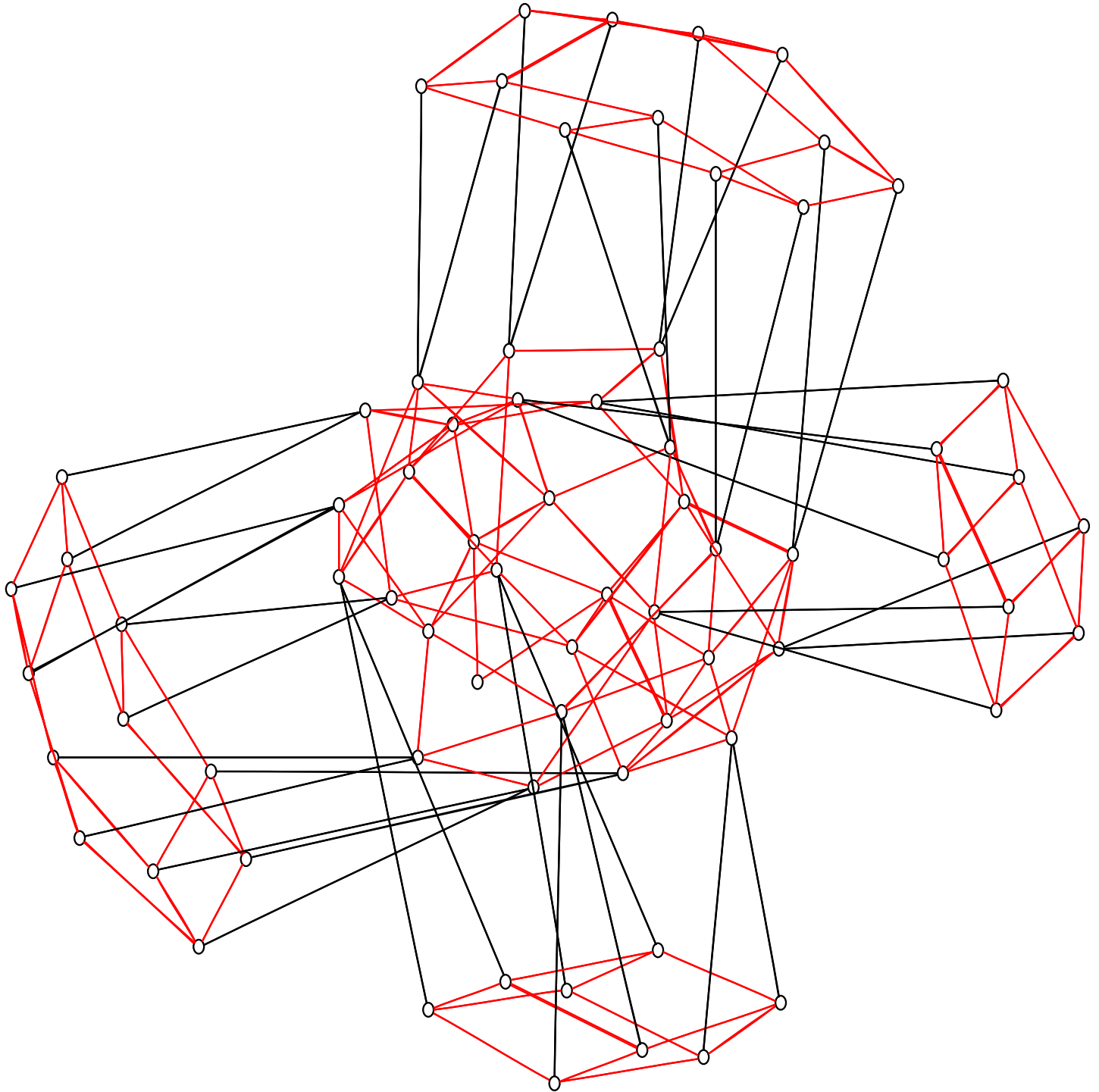
$$e^+ e^- \rightarrow f_1 \bar{f}_2 f_3 \bar{f}_4(\gamma)$$

$e^+ e^- \rightarrow \nu \bar{\nu} \nu \bar{\nu} \gamma$  is not the most pressing physics problem, but small enough to make a nice picture:  $4 \cdot 26 + 2 \cdot 6 + 4 \cdot 4 \iff$





The forest of size 71 for the process  $\gamma\gamma \rightarrow u\bar{d}d\bar{u}$  in the standard model (without QCD, CKM mixing & Higgs contributions) with one grove of size 31, two groves of size 12 & two groves of size 8.



Surprise:  $|\mathbf{Aut}(F(E))| = 128$